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BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS

2004 NOV -5 A 11: 12

Arizona Corporation Commission

DOCKETED

NOV - 5 2004

MARC SPITZER, Chairman
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
MIKE GLEASON
KRISTIN K. MAYES

AZ CORP COMMISSION
DOCUMENT CONTROL

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IN THE MATTER OF:

DOCKET NO. S-03500-04-0000

JAMES L. OPPENHEIMER and SYDNEY J.
OPPENHEIMER
695 W. Bear Claw Lane
Pinetop, AZ 85935

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On September 28, 2004, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against James L. Oppenheimer and Sydney J. Oppenheimer ("Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of viatical settlements.

The Respondents were duly served with a copy of the Notice.

On October 29, 2004, a request for hearing was filed for Respondents.

On November 2, 2004, by Procedural Order, a pre-hearing conference was scheduled for November 18, 2004.

On November 4, 2004, the Division telephonically requested the proceeding be vacated because a Consent Order is to be submitted for Commission approval.

Accordingly, the pre-hearing conference should be scheduled.

IT IS THEREFORE ORDERED that the pre-hearing conference scheduled on November 18, 2004, is hereby vacated.

DATED this 5TH day of November, 2004.

MARC E. STERN
ADMINISTRATIVE LAW JUDGE


1 Copies of the foregoing mailed/delivered
this 5 day of November, 2004 to:

2 Robert D. Mitchell
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5 Attorneys for Respondents

6 Matt Neubert, Director
7 Securities Division
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9 1300 West Washington Street
10 Phoenix, AZ 85007

11 ARIZONA REPORTING SERVICE, INC.
12 2627 N. Third Street, Ste. Three
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14 By:

15 
16 Molly Johnson
17 Secretary to Marc E. Stern
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